

## HOVON 68 CLL – CASE REPORT FORMS

## A randomized phase III study in previously untreated patients with biological high-risk CLL: Fludarabine + cyclophosphamide (FC) versus FC + low-dose alemtuzumab

### VERSION OF FORMS

Version date	Changed form	Description
10.03.2005	Original	-
20.10.2005	All forms Registration & Randomization Form (1) Treatment Form (4)  Molecular Evaluation Form (8A and 8B)  CT Scan Form (9)  Adverse Event Form (12)  Infection Form (13)	Item numbers added Item 48: question corrected ( <i>and</i> replaces <i>or</i> ) Items for G-CSF added (items 18-20) Item for corticosteroids added (item 21) Items for PCP prophylaxis added (items 22-23) Items for CMV prophylaxis added (items 30-31) Items for hematology nadirs added (items 53-62) PB sampling date added (item 4) Comment about total vs. gated percentage added Item 30: question corrected ( <i>homology</i> replaces <i>mutations</i> ) Item 81: specification other sites added Form number corrected on page 2 of 2 Reference to Treatment Form corrected Comment about hematological adverse events added Reference to Treatment Form corrected
13.12.2005	Adverse Event Form (12) Infection Form (13)	Items for SAE and relationship added Items for SAE and relationship added
14.04.2008	Registration & Randomization Form (1)  Original Pathology form (2A) and Central Pathology form (2B) Response Evaluation form (5)  Molecular Evaluation form (8A) and Central Molecular Evaluation form (8B) Off Treatment form (10)  Follow up form (11)	Modification of telephone and fax number Addition of item 53, modification of item 40 Text 'Bone marrow biopsy' replaced by 'Blood smear' Deletion of items 9-12. Addition of items 55, 56, 57 Deletion of items 37, 38 Item 41,43: addition of text 'if applicable' at label 1 Item 48: label 4= 'nodular partial remission' replaced by label 10='CR with incomplete BM recovery' Addition of item 48 Item 6: label 4= 'nodular partial remission' replaced by label 10='CR with incomplete BM recovery' Addition of item 10 Item 7 and 23: label 4='nodular partial remission' replaced by label 10='CR with incomplete BM recovery'

REGISTRATION & RANDOMIZATION FORM (1)

*Instructions: Please complete this form before randomization to check eligibility. Randomize via Internet through TOP or send this form by fax or report by telephone to HOVON Data Center. Fax +31.10.7041028, Tel +31.10.7041560  
Any mistake in patient characteristics or eligibility as given at randomization must be reported immediately by sending the revised form to: HOVON Data Center, Erasmus MC – Daniel den Hoed, P.O.Box 5201, 3008 AE ROTTERDAM, The Netherlands.*

Patient namecode: ..... Hospital: ..... Patient study number: |\_\_|\_\_|\_\_|

Caller (who registers the patient)..... 8 .....  
 Responsible physician..... 9 .....  
 Hospital record number..... 10 .....  
 Date of birth..... [dd/mm/yyyy] 11 |\_\_||\_\_||\_\_\_\_|  
 Sex..... 12 |\_\_| 1=male 2=female  
 Date of diagnosis CLL..... [dd/mm/yyyy] 16 |\_\_||\_\_||\_\_\_\_|

**ELIGIBILITY** (see protocol paragraph 8.1)

Diagnosis of biological high risk CLL..... 17 |\_\_| 0=no 1=yes  
 ≥ 98% homology to germ-line VH gene sequence (“unmutated”)..... 18 |\_\_| 0=no 1=yes  
 Usage of V<sub>H</sub>3-12..... 53 |\_\_| 0=no 1=yes  
 17p deletions confirmed by FISH..... 19 |\_\_| 0=no 1=yes  
 11q deletions confirmed by FISH..... 20 |\_\_| 0=no 1=yes  
 Trisomy 12 confirmed by FISH..... 21 |\_\_| 0=no 1=yes  
 Stage of disease (see protocol appendix B)..... 22 |\_\_| 1=stage A 2=stage B  
 3=stage C  
 Weight loss ≥ 10% within the previous 6 months..... 23 |\_\_| 0=no 1=yes  
 Extreme fatigue..... 24 |\_\_| 0=no 1=yes  
 Fevers ≥ 38.6 °C for ≥ 2 weeks without evidence of infection..... 25 |\_\_| 0=no 1=yes  
 Night sweats without evidence of infection..... 26 |\_\_| 0=no 1=yes  
 Evidence of progressive marrow failure as manifested by the development of, or  
 worsening of anemia and/or thrombocytopenia..... 27 |\_\_| 0=no 1=yes  
 Autoimmune anemia and/or thrombocytopenia poorly responsive to corticosteroid  
 therapy..... 28 |\_\_| 0=no 1=yes  
 Massive (i.e., > 6 cm below the left costal margin) or progressive splenomegaly..... 29 |\_\_| 0=no 1=yes  
 Massive nodes or clusters (i.e., > 10 cm in longest diameter) or progressive  
 lymphadenopathy..... 30 |\_\_| 0=no 1=yes  
 Progressive lymphocytosis with an increase of > 50% over a 2-month period, or an  
 anticipated doubling time of less than 6 months..... 31 |\_\_| 0=no 1=yes  
 Age 18-75 years inclusive..... 32 |\_\_| 0=no 1=yes  
 Written informed consent..... 33 |\_\_| 0=no 1=yes  
 WHO performance status ≥ 3, unless related to CLL..... 34 |\_\_| 0=no 1=yes  
 Intolerance of exogenous protein administration..... 35 |\_\_| 0=no 1=yes

Date: |\_\_||\_\_||\_\_\_\_| Name: ..... Signature: .....

REGISTRATION & RANDOMIZATION FORM (1)

*Instructions: Please complete this form before randomization to check eligibility. Randomize via Internet through TOP or send this form by fax or report by telephone to HOVON Data Center. Fax +31.10.7041028, Tel +31.10.7041560  
Any mistake in patient characteristics or eligibility as given at randomization must be reported immediately by sending the revised form to: HOVON Data Center, Erasmus MC – Daniel den Hoed, P.O.Box 5201, 3008 AE ROTTERDAM, The Netherlands.*

Patient namecode: ..... Hospital: ..... Patient study number: |\_\_|\_\_|\_\_|

- Severe cardiac dysfunction (NYHA classification III-IV)..... 36 |\_\_| 0=no 1=yes
- Significant renal dysfunction (serum creatinine ≥ 150 µmol/l (≥ 1.70 mg/dl) or creatinine clearance < 30 ml/min)..... 37 |\_\_| 0=no 1=yes
- Significant hepatic dysfunction (total bilirubin or transaminases > 2 times ULN), unless related to CLL..... 38 |\_\_| 0=no 1=yes
- Suspected or documented CNS involvement by CLL..... 39 |\_\_| 0=no 1=yes
- Known seropositivity of HIV, Hepatitis B and C..... 40 |\_\_| 0=no 1=yes
- Active, uncontrolled infections..... 41 |\_\_| 0=no 1=yes
- Uncontrolled asthma or allergy requiring systemic steroid treatment..... 42 |\_\_| 0=no 1=yes
- Previous treatment with chemotherapy, radiotherapy or immunotherapy for CLL..... 43 |\_\_| 0=no 1=yes
- History of active cancer during the past 5 years, except non-melanoma skin cancer or stage 0 cervical carcinoma..... 44 |\_\_| 0=no 1=yes
- Clinically significant auto-immune hemolytic anemia (AIHA)..... 45 |\_\_| 0=no 1=yes
- Negative pregnancy test if applicable..... 46 |\_\_| 0=no 1=yes 2=not applicable
- Nursing if applicable..... 47 |\_\_| 0=no 1=yes 2=not applicable
- Willing and able to use adequate contraception during therapy (all men, pre-menopausal women)..... 48 |\_\_| 0=no 1=yes 2=not applicable

**DATA FROM HOVON DATA CENTER**

Date of randomization..... [dd/mm/yyyy] 14 |\_\_||\_\_||\_\_\_\_|  
 Patient study number..... 1 |\_\_|\_\_|\_\_|  
 Treatment arm allocated..... 13 |\_\_| 1=Arm A: FC without alemtuzumab  
 2=Arm B: FC with alemtuzumab

Date: |\_\_||\_\_||\_\_\_\_| Name: ..... Signature: .....

**HOVON 68 CLL**

page 1 of 1

**ORIGINAL PATHOLOGY FORM (2A)**

*Instructions: This form has to be completed by the local pathologist and sent in together with 5 unstained slides.  
Send it to the central pathologist of your respective country as specified in paragraph 4.1 of the protocol.  
Please send a copy of this form to:  
HOVON Data Center, Erasmus MC – Daniel den Hoed, P.O.Box 5201, 3008 AE ROTTERDAM, The Netherlands.*

Patient namecode: ..... Hospital: ..... Patient study number: |\_\_|\_\_|\_\_|

**BLOOD SMEAR**

Local PA laboratory / local pathologist..... 3 .....

Date of smear..... [dd/mm/yyyy] 4 |\_\_||\_\_||\_\_\_\_|

Original number / section..... 5 |\_\_\_\_| / |\_\_\_\_|

Localisation of smear..... 6 .....

Frozen material available..... 7 |\_\_| 0=no 1=yes

CLL according to the WHO classification..... 8 |\_\_| 0=no 1=yes

**IMMUNOPHENOTYPING\***

CD5 .....	13	__ __ __  %	CD79b.....	17	__ __ __  %
CD19 .....	14	__ __ __  %	kappa .....	18	__ __ __  %
CD20 .....	15	__ __ __  %	lambda.....	19	__ __ __  %
CD23 .....	16	__ __ __  %	cyclin D1.....	20	__ __ __  %

\* fill out 111 if positive but percentage unknown

**COMMENTS**

.....

.....

.....

Date: |\_\_||\_\_||\_\_\_\_| Name: ..... Signature: .....

HOVON 68 CLL

page 1 of 1

**CENTRAL PATHOLOGY FORM (2B)**

*Instructions: This form has to be completed by the central pathologist.  
Please send the completed form to:  
HOVON Data Center, Erasmus MC – Daniel den Hoed, P.O.Box 5201, 3008 AE ROTTERDAM, The Netherlands.*

Patient namecode: ..... Hospital: ..... Patient study number: |\_\_|\_\_|\_\_|

**BLOOD SMEAR**

Date of smear ..... [dd/mm/yyyy] 4 |\_\_||\_\_||\_\_\_\_|  
 Original number / section ..... 5 |\_\_\_\_| / |\_\_\_\_|  
 Localisation of smear ..... 6 .....  
 CLL according to the WHO classification ..... 8 |\_\_| 0=no 1=yes

**IMMUNOPHENOTYPING\***

CD5 ..... 13  __ __ __  %	CD79b ..... 17  __ __ __  %
CD19 ..... 14  __ __ __  %	kappa ..... 18  __ __ __  %
CD20 ..... 15  __ __ __  %	lambda ..... 19  __ __ __  %
CD23 ..... 16  __ __ __  %	cyclin D1 ..... 20  __ __ __  %

\* fill out 111 if positive but percentage unknown

Agreement with the local pathologist ..... 21 |\_\_| 0=no 1=yes 2=min. disagreement  
 Eligible for this trial ..... 22 |\_\_| 0=no 1=yes  
 Date review ..... [dd/mm/yyyy] 23 |\_\_||\_\_||\_\_\_\_|

**COMMENTS**

.....  
 .....  
 .....

Date: |\_\_||\_\_||\_\_\_\_| Name: ..... Signature: .....

ON STUDY FORM (3)

Instructions: Please send the completed form within 1 month of randomization.  
Send it to: HOVON Data Center, Erasmus MC – Daniel den Hoed, P.O.Box 5201, 3008 AE ROTTERDAM, The Netherlands.

Patient namecode: ..... Hospital: ..... Patient study number: |\_\_|\_\_|\_\_|

PATIENT CHARACTERISTICS AT ENTRY

Height ..... [cm] 2 |\_\_|\_\_|\_\_|  
WHO performance status..... [0-4] 3 |\_\_|

MEDICAL HISTORY

History of other hematological or oncological  
disease ..... 4 |\_\_| 0=no 1=yes\*  
\*Specify 5 .....  
If yes, date diagnosis..... [dd/mm/yyyy] 6 |\_\_||\_\_||\_\_\_\_|  
Concomitant disease ..... 7 |\_\_| 0=no 1=yes\*  
\*Specify 8 .....

PHYSICAL EXAMINATION

Spleen size below costal margin ..... [cm] 9 |\_\_|\_\_|. |\_\_|  
(by physical examination)  
Largest diameter spleen..... [cm] 10 |\_\_|\_\_|. |\_\_|  
Liver size below costal margin..... [cm] 11 |\_\_|\_\_|. |\_\_|  
(by physical examination)  
Largest diameter liver ..... [cm] 12 |\_\_|\_\_|. |\_\_|  
Palpable lymph nodes ..... 13 |\_\_| 0=no 1=yes  
Neck right ..... [cm x cm] 14 |\_\_|\_\_|. |\_\_| X 15 |\_\_|\_\_|. |\_\_|  
Neck left ..... [cm x cm] 16 |\_\_|\_\_|. |\_\_| X 17 |\_\_|\_\_|. |\_\_|  
Axillae right ..... [cm x cm] 18 |\_\_|\_\_|. |\_\_| X 19 |\_\_|\_\_|. |\_\_|  
Axillae left ..... [cm x cm] 20 |\_\_|\_\_|. |\_\_| X 21 |\_\_|\_\_|. |\_\_|  
Groins right ..... [cm x cm] 22 |\_\_|\_\_|. |\_\_| X 23 |\_\_|\_\_|. |\_\_|  
Groins left ..... [cm x cm] 24 |\_\_|\_\_|. |\_\_| X 25 |\_\_|\_\_|. |\_\_|

Date: |\_\_||\_\_||\_\_\_\_| Name: ..... Signature: .....

ON STUDY FORM (3)

Instructions: Please send the completed form within 1 month of randomization.  
Send it to: HOVON Data Center, Erasmus MC – Daniel den Hoed, P.O.Box 5201, 3008 AE ROTTERDAM, The Netherlands.

Patient namecode: ..... Hospital: ..... Patient study number: |\_\_|\_\_|\_\_|

**BLOOD CHEMISTRY**

Date sample .....	[dd/mm/yyyy]	26	__ _ _ _ _ _ _				
Sodium .....	[mmol/l]	27	__ _ _ _				
Potassium .....	[mmol/l]	28	__ _ _ _ _				
Creatinine .....	<b>[μmol/l]</b>	29	__ _ _ _	<i>or</i>	<b>[mg/dl]</b>	__ _ _ _ _	30
Creatinine clearance (on indication) .....	[ml/min]	31	__ _ _ _				
Uric acid .....	[mmol/l]	32	__ _ _ _ _				
ASAT .....	[U/l]	33	__ _ _ _ _				
ASAT Upper Limit of Normal .....	[U/l]	34	__ _ _ _ _				
ALAT .....	[U/l]	35	__ _ _ _ _				
ALAT Upper Limit of Normal .....	[U/l]	36	__ _ _ _ _				
Alkaline phosphatase .....	[U/l]	37	__ _ _ _				
Bilirubin .....	<b>[μmol/l]</b>	38	__ _ _ _	<i>or</i>	<b>[mg/dl]</b>	__ _ _ _ _	39
Bilirubin Upper Limit of Normal .....	<b>[μmol/l]</b>	40	__ _ _ _	<i>or</i>	<b>[mg/dl]</b>	__ _ _ _ _	41
LDH .....	[U/l]	42	__ _ _ _ _ _				
LDH Upper Limit of Normal .....	[U/l]	43	__ _ _ _ _ _				
Haptoglobin .....	[g/l]	44	__ _ _ _ _				
C-reactive protein .....	[mg/l]	45	__ _ _ _				
Glucose .....	[mmol/l]	46	__ _ _ _ _				
BUN .....	[mmol/l]	47	__ _ _ _ _				
Total protein .....	[g/l]	48	__ _ _ _				
Albumin .....	[g/l]	49	__ _ _ _ _				
IgG .....	[g/l]	50	__ _ _ _ _				
IgM .....	[g/l]	51	__ _ _ _ _				
IgA .....	[g/l]	52	__ _ _ _ _				
β-2 microglobulin .....	[mg/l]	53	__ _ _ _ _				

Date: |\_\_|\_|\_|\_|\_|\_| Name: ..... Signature: .....

ON STUDY FORM (3)

Instructions: Please send the completed form within 1 month of randomization.  
Send it to: HOVON Data Center, Erasmus MC – Daniel den Hoed, P.O.Box 5201, 3008 AE ROTTERDAM, The Netherlands.

Patient namecode: ..... Hospital: ..... Patient study number: |\_\_|\_\_|\_\_|

**BONE MARROW AND HEMATOLOGY**

Bone marrow aspirate done..... 54 |\_\_| 0=no 1=yes, fill out Bone Marrow Evaluation Form (6) and Molecular Evaluation Form (8A)

BM sampling date..... [dd/mm/yyyy] 55 |\_\_||\_\_||\_\_\_\_|

Hematology done..... 56 |\_\_| 0=no 1=yes, fill out Hematological Evaluation Form (7) and Molecular Evaluation Form (8A)

PB sampling date..... [dd/mm/yyyy] 57 |\_\_||\_\_||\_\_\_\_|

**ANTI-VIRAL ANTIBODIES**

Cytomegalovirus (CMV)..... 58 |\_\_| 0=negative 1=positive

Epstein-Barr (EBV)..... 59 |\_\_| 0=negative 1=positive

HIV ..... 60 |\_\_| 0=negative 1=positive

Hepatitis B (HBV)..... 61 |\_\_| 0=negative 1=positive

Hepatitis C (HCV)..... 62 |\_\_| 0=negative 1=positive

**SPECIFIC INVESTIGATIONS**

ECG ..... 63 |\_\_| 0=no abnormalities 1=abnormalities\*

\*Specify 64 .....

CT scan done..... 65 |\_\_| 0=no 1=yes, fill out CT Scan Form (9)

Date CT scan..... [dd/mm/yyyy] 66 |\_\_||\_\_||\_\_\_\_|

**COMMENTS**

.....

.....

.....

Date: |\_\_||\_\_||\_\_\_\_| Name: ..... Signature: .....



TREATMENT FORM (4)

Instructions: Please send the completed form within 1 month after evaluation of induction treatment.  
Send it to: HOVON Data Center, Erasmus MC – Daniel den Hoed, P.O.Box 5201, 3008 AE ROTTERDAM, The Netherlands.

Patient namecode: ..... Hospital: ..... Patient study number: |\_\_|\_\_|\_\_|

Cycle ..... [1-6] 2 |\_\_|

PATIENT CHARACTERISTICS

Weight ..... [kg] 3 |\_\_|\_\_|\_\_|.|\_\_|

Surface area ..... [m<sup>2</sup>] 4 |\_\_|.|\_\_|\_\_|

WHO performance status ..... [0-4] 5 |\_\_|

ADMINISTRATION OF TREATMENT

Date start of this cycle ..... [dd/mm/yyyy] 6 |\_\_||\_\_||\_\_\_\_|

Date last chemotherapy given ..... [dd/mm/yyyy] 7 |\_\_||\_\_||\_\_\_\_|

Drug	Theoretical full dose	Total dose actually given	Dosage*	Reason**
Fludarabine	40 mg/m <sup>2</sup> p.o. d1,2,3	8  __ __ __  [mg]	9  __	10  __
Cyclophosphamide	250 mg/m <sup>2</sup> p.o. d1,2,3	11  __ __ __  [mg]	12  __	13  __
Alemtuzumab	30 mg s.c. d-1,0,1 (arm B cycle 1) d1 (arm B cycles 2-6)	14  __ __ __  [mg]	15  __	16  __
Specify dose modification and reason..... (if applicable)		17 .....		

*Dosage	**Reason
1 = full dose according to schedule	1 = hematological toxicity
2 = full dose given but delayed	2 = neurotoxicity
3 = dose reduced	3 = both (1 + 2) (specify)
4 = dose reduced and delayed	4 = other toxicity (specify)
5 = not given	5 = combination (specify)
6 = interrupted & resumed	6 = patients condition (specify)
	7 = renal insufficiency
8 = other (specify)	8 = other (specify)
	9 = unknown

G-CSF given ..... 18 |\_\_| 0=no 1=yes

Date start of G-CSF ..... [dd/mm/yyyy] 19 |\_\_||\_\_||\_\_\_\_|

Date end of G-CSF ..... [dd/mm/yyyy] 20 |\_\_||\_\_||\_\_\_\_|

Corticosteroids given ..... 21 |\_\_| 0=no 1=yes

PCP prophylaxis given ..... 22 |\_\_| 0=no 1=yes

If no, specify why not ..... 23 .....

Date: |\_\_||\_\_||\_\_\_\_| Name: ..... Signature: .....

TREATMENT FORM (4)

Instructions: Please send the completed form within 1 month after evaluation of induction treatment.  
Send it to: HOVON Data Center, Erasmus MC – Daniel den Hoed, P.O.Box 5201, 3008 AE ROTTERDAM, The Netherlands.

Patient namecode: ..... Hospital: ..... Patient study number: |\_\_|\_\_|\_\_|

Cycle ..... [1-6] 2 |\_\_|

**EBV AND CMV MONITORING** (arm B only)

PCR for EBV done ..... 24 |\_\_| 0=no 1=yes

Date of sample	Technique <sup>(1)</sup>	Sensitivity <sup>(2)</sup>	Result 1 <sup>(3)</sup> Non-quantitative	Result 2 Nr. of copies / ml
25  __  __  _____	26  __	27  __	28  __	29  __ __ __ __ __

CMV prophylaxis given ..... 30 |\_\_| 0=no 1=yes 2=not applicable (arm A)

If no, specify why not ..... 31 .....

PCR for CMV done ..... 32 |\_\_| 0=no 1=yes

	Date of sample	Technique <sup>(1)</sup>	Sensitivity <sup>(2)</sup>	Result 1 <sup>(3)</sup> Non-quantitative	Result 2 Nr. of copies / ml
<b>week 1</b>	33  __  __  _____	34  __	35  __	36  __	37  __ __ __ __ __
<b>week 2</b>	38  __  __  _____	39  __	40  __	41  __	42  __ __ __ __ __
<b>week 3</b>	43  __  __  _____	44  __	45  __	46  __	47  __ __ __ __ __
<b>week 4</b>	48  __  __  _____	49  __	50  __	51  __	52  __ __ __ __ __

<p><b>(1)Technique</b> 1=non-quant. PCR 2=quant. PCR</p>	<p><b>(2)Sensitivity</b> 1=1/10<sup>1</sup> 2=1/10<sup>2</sup> 3=1/10<sup>3</sup> 4=1/10<sup>4</sup> 5=1/10<sup>5</sup> 6=1/10<sup>6</sup> 9=not assessable</p>	<p><b>(3)Result</b> 0=negative 1=positive 2=significant increase 3=significant decrease 9=not assessable</p>
--	---	--

**HEMATOLOGY** (please report nadir and date when nadir was first reported during this cycle, e.g. between date start of this cycle and start of the next cycle, or in case of the last cycle between date start of this cycle and 30 days after date start of this cycle)

	Nadir	Date nadir first reported
Hemoglobin ..... [mmol/l] 53  __ __ .  __  [dd/mm/yyyy] 54  __  __  _____		
<u>Or</u> hemoglobin ..... [g/dl] 55  __ __ .  __  [dd/mm/yyyy] 56  __  __  _____		
WBC ..... [x10 <sup>9</sup> /l] 57  __ __ __ .  __  [dd/mm/yyyy] 58  __  __  _____		
ANC ..... [x10 <sup>9</sup> /l] 59  __ __ __ .  __  [dd/mm/yyyy] 60  __  __  _____		
Platelets ..... [x10 <sup>9</sup> /l] 61  __ __ __  [dd/mm/yyyy] 62  __  __  _____		

Date: |\_\_||\_\_||\_\_\_\_\_ Name: ..... Signature: .....

TREATMENT FORM (4)

Instructions: Please send the completed form within 1 month after evaluation of induction treatment.
Send it to: HOVON Data Center, Erasmus MC – Daniel den Hoed, P.O.Box 5201, 3008 AE ROTTERDAM, The Netherlands.

Patient namecode: ..... Hospital: ..... Patient study number: |\_\_|\_\_|\_\_|

Cycle ..... [1-6] 2 |\_\_|

COMPLICATIONS OR EVENTS

Adverse events (CTCAE ≥ 2) ..... 63 |\_\_| 0=no 1=yes, please fill out Adverse Event Form (12)

Infections (CTCAE ≥ 2) ..... 64 |\_\_| 0=no 1=yes, please fill out Infection Form (13)

Survival status ..... 65 |\_\_| 0=alive 1=dead, please fill out Off Treatment Form (10)

Treatment planned ..... 66 |\_\_| 0=no further protocol treatment
2=cycle II 3=cycle III
4=cycle IV 5=cycle V
6=cycle VI 8=other\*

\*Specify 67 .....

COMMENTS

.....
.....
.....

Date: |\_\_||\_\_||\_\_\_\_| Name: ..... Signature: .....

HOVON 68 CLL

Page 1 of 2

## RESPONSE EVALUATION FORM (5)

Instructions: Please send the completed form within 1 month after evaluation of the relevant treatment cycle, once every 6 months during follow up until progression, at every change in response during follow up and at the request of the HOVON Data Center.  
Send it to: HOVON Data Center, Erasmus MC – Daniel den Hoed, P.O.Box 5201, 3008 AE ROTTERDAM, The Netherlands.

Patient namecode: ..... Hospital: ..... Patient study number: |\_\_|\_\_|\_\_|

Time of evaluation ..... 2 |\_\_| 3=cycle III 6=cycle VI 7=follow up

Date of evaluation ..... [dd/mm/yyyy] 3 |\_\_||\_\_||\_\_\_\_|

## PHYSICAL EXAMINATION

Spleen size below costal margin ..... [cm] 4 |\_\_|\_\_|.\_\_\_\_|

(by physical examination)

Liver size below costal margin ..... [cm] 5 |\_\_|\_\_|.\_\_\_\_|

(by physical examination)

Palpable lymph nodes ..... 6 |\_\_| 0=no 1=yes

Neck right ..... [cm x cm] 7 |\_\_|.\_\_\_\_| X 8 |\_\_|.\_\_\_\_|

Neck left ..... [cm x cm] 9 |\_\_|.\_\_\_\_| X 10 |\_\_|.\_\_\_\_|

Axillae right ..... [cm x cm] 11 |\_\_|.\_\_\_\_| X 12 |\_\_|.\_\_\_\_|

Axillae left ..... [cm x cm] 13 |\_\_|.\_\_\_\_| X 14 |\_\_|.\_\_\_\_|

Groins right ..... [cm x cm] 15 |\_\_|.\_\_\_\_| X 16 |\_\_|.\_\_\_\_|

Groins left ..... [cm x cm] 17 |\_\_|.\_\_\_\_| X 18 |\_\_|.\_\_\_\_|

## BLOOD CHEMISTRY

Date sample ..... [dd/mm/yyyy] 19 |\_\_||\_\_||\_\_\_\_|

Sodium ..... [mmol/l] 20 |\_\_|\_\_|

Potassium ..... [mmol/l] 21 |\_\_|\_\_|.\_\_\_\_|

Creatinine ..... [ $\mu$ mol/l] 22 |\_\_|\_\_| or [mg/dl] |\_\_|.\_\_\_\_| 23

Creatinine clearance (on indication) ..... [ml/min] 24 |\_\_|\_\_|

Uric acid ..... [mmol/l] 25 |\_\_|.\_\_\_\_|

ASAT ..... [U/l] 26 |\_\_|\_\_|\_\_|

ALAT ..... [U/l] 27 |\_\_|\_\_|\_\_|

Alkaline phosphatase ..... [U/l] 28 |\_\_|\_\_|

Bilirubin ..... [ $\mu$ mol/l] 29 |\_\_|\_\_| or [mg/dl] |\_\_|.\_\_\_\_| 30

LDH ..... [U/l] 31 |\_\_|\_\_|\_\_|\_\_|

Haptoglobin ..... [g/l] 32 |\_\_|.\_\_\_\_|

C-reactive protein ..... [mg/l] 33 |\_\_|\_\_|

Date: |\_\_||\_\_||\_\_\_\_| Name: ..... Signature: .....

**RESPONSE EVALUATION FORM (5)**

*Instructions: Please send the completed form within 1 month after evaluation of the relevant treatment cycle, once every 6 months during follow up until progression, at every change in response during follow up and at the request of the HOVON Data Center.  
Send it to: HOVON Data Center, Erasmus MC – Daniel den Hoed, P.O.Box 5201, 3008 AE ROTTERDAM, The Netherlands.*

Patient namecode: ..... Hospital: ..... Patient study number: |\_\_|\_\_|\_\_|

Date of evaluation..... [dd/mm/yyyy] 3 |\_\_||\_\_||\_\_\_\_\_

**BONE MARROW AND HEMATOLOGY**

- Biopsy..... 34 |\_\_| 0=not done 1=done 2=failure
- Cellularity..... 35 |\_\_| 1=low 2=medium 3=high
- Fat cells..... 36 |\_\_| 1= > 15% 2= ≤ 15%
- CLL infiltration..... 55 |\_\_| 0=no 1=yes
- Nodular infiltration pattern..... 56 |\_\_| 0=no 1=yes\*
- \*Are nodules B-lymphoid?..... 57 |\_\_| 0=no 1=yes
- Transformation to Richter's syndrome.... 39 |\_\_| 0=no 1=yes
- Transformation to PLL with > 55%  
prolymphocytes..... 40 |\_\_| 0=no 1=yes
- Bone marrow aspirate done..... 41 |\_\_| 0=no 1=yes, fill out Bone Marrow Evaluation Form (6)  
and Molecular Evaluation Form (8A) if applicable.
- BM sampling date..... [dd/mm/yyyy] 42 |\_\_||\_\_||\_\_\_\_\_
- Hematology done..... 43 |\_\_| 0=no 1=yes, fill out Hematological Evaluation Form (7)  
and Molecular Evaluation Form (8A) if applicable.
- PB sampling date..... [dd/mm/yyyy] 44 |\_\_||\_\_||\_\_\_\_\_

**SPECIFIC INVESTIGATIONS**

- CT scan done..... 45 |\_\_| 0=no 1=yes, fill out CT Scan Form (9)
- Date CT scan..... [dd/mm/yyyy] 46 |\_\_||\_\_||\_\_\_\_\_

**EVALUATION**

- WHO performance status..... [0-4] 47 |\_\_|
- Response..... 48 |\_\_| 1=complete molecular remission  
2=complete flow cytometric remission  
3=complete remission (CR)  
10=CR with incomplete BM recovery  
5=partial remission  
6=stable disease  
7=progression after previous response  
8=progressive disease

**COMMENTS**

.....  
.....

Date: |\_\_||\_\_||\_\_\_\_\_ Name: ..... Signature: .....

HOVON 68 CLL

page 1 of 1

**BONE MARROW EVALUATION FORM (6)**

*Instructions: Please fill out this form repeatedly to document diagnosis and evaluation of treatment.  
Send this form to: HOVON Data Center, Erasmus MC – Daniel den Hoed, P.O.Box 5201, 3008 AE ROTTERDAM, The Netherlands.*

Patient namecode: ..... Hospital: ..... Patient study number: |\_\_|\_\_|\_\_|

Time of evaluation ..... 2 |\_\_| 0=on study 3=cycle III  
6=cycle VI 7=follow up

BM sampling date ..... [dd/mm/yyyy] 3 |\_\_||\_\_||\_\_\_\_|  
(date should match item 55 on On Study Form or  
item 42 on Response Evaluation Form)

**BONE MARROW DIFFERENTIAL**

- Blasts ..... [%] 4 |\_\_|\_\_|\_\_|
- Promyelocytes ..... [%] 5 |\_\_|\_\_|\_\_|
- Myelocytes ..... [%] 6 |\_\_|\_\_|\_\_|
- Metamyelocytes ..... [%] 7 |\_\_|\_\_|\_\_|
- Neutrophils (segments & bands) ..... [%] 8 |\_\_|\_\_|\_\_|
- Monocytes ..... [%] 9 |\_\_|\_\_|\_\_|
- Eosinophils ..... [%] 10 |\_\_|\_\_|\_\_|
- Basophils ..... [%] 11 |\_\_|\_\_|\_\_|
- Erythroblasts ..... [%] 12 |\_\_|\_\_|\_\_|
- Lymphocytes ..... [%] 13 |\_\_|\_\_|\_\_|
- Plasma cells ..... [%] 14 |\_\_|\_\_|\_\_|
- Ghost cells ..... [%] 15 |\_\_|\_\_|\_\_|
- Other\* ..... [%] 16 |\_\_|\_\_|\_\_|
- \*Specify 17 .....

**COMMENTS**

.....  
.....  
.....

Date: |\_\_||\_\_||\_\_\_\_| Name: ..... Signature: .....

HOVON 68 CLL

page 1 of 1

HEMATOLOGICAL EVALUATION FORM (7)

Instructions: Please fill out this form repeatedly to document diagnosis and evaluation of treatment.  
Send it to: HOVON Data Center, Erasmus MC – Daniel den Hoed, P.O.Box 5201, 3008 AE ROTTERDAM, The Netherlands.

Patient namecode: ..... Hospital: ..... Patient study number: |\_\_|\_\_|\_\_|

Time of evaluation ..... 2 |\_\_| 0=on study 3=cycle III  
6=cycle VI 7=follow up

Blood sampling date ..... [dd/mm/yyyy] 3 |\_\_||\_\_||\_\_\_\_|  
(date should match item 57 on On Study Form or  
item 44 on Response Evaluation Form)

HEMATOLOGICAL EVALUATION

Hemoglobin ..... [mmol/l] 4 |\_\_|\_\_|.\_\_\_\_| or [g/dl] |\_\_|\_\_|.\_\_\_\_| 5

Erythrocytes ..... [x10<sup>12</sup>/l] 6 |\_\_|.\_\_\_\_|

Reticulocytes ..... [%] 7 |\_\_|\_\_|\_\_|.\_\_\_\_|

Platelets ..... [x10<sup>9</sup>/l] 8 |\_\_|\_\_|\_\_|

WBC ..... [x10<sup>9</sup>/l] 9 |\_\_|\_\_|\_\_|.\_\_\_\_|

Neutrophils ..... [%] 10 |\_\_|\_\_|\_\_|

Eosinophils ..... [%] 11 |\_\_|\_\_|\_\_|

Basophils ..... [%] 12 |\_\_|\_\_|\_\_|

Lymphocytes ..... [%] 13 |\_\_|\_\_|\_\_|

Monocytes ..... [%] 14 |\_\_|\_\_|\_\_|

Ghost cells ..... [%] 15 |\_\_|\_\_|\_\_|

Other\* ..... [%] 16 |\_\_|\_\_|\_\_|

\*Specify 17 .....

Direct antiglobulin test (Coombs test) ..... 18 |\_\_| 0=negative 1=positive 2=not done

COMMENTS

.....  
.....  
.....

Date: |\_\_||\_\_||\_\_\_\_| Name: ..... Signature: .....

MOLECULAR EVALUATION FORM (8A)

Instructions: Please fill out this form repeatedly to document diagnosis and evaluation of treatment.  
Send it to: HOVON Data Center, Erasmus MC – Daniel den Hoed, P.O.Box 5201, 3008 AE ROTTERDAM, The Netherlands.

Patient namecode: ..... Hospital: ..... Patient study number: |\_\_|\_\_|\_\_|

Time of evaluation ..... 2 |\_\_| 0=on study 3=cycle III  
6=cycle VI 7=follow up

BM sampling date ..... [dd/mm/yyyy] 3 |\_\_||\_\_||\_\_|

PB sampling date ..... [dd/mm/yyyy] 4 |\_\_||\_\_||\_\_|

(should match items 55 and 57 on On Study Form  
or items 42 and 44 on Response Evaluation Form)

Samples sent for central evaluation ..... 5 |\_\_| 0=no 1=yes

Samples sent date ..... [dd/mm/yyyy] 6 |\_\_||\_\_||\_\_|

**FLOW CYTOMETRY**

Flow cytometry done ..... 7 |\_\_| 0=no 1=yes

Type of sample used ..... 8 |\_\_| 1=bone marrow 2=peripheral blood 3=BM+PB

**Flow cytometry results for BM\*** (fill out results for PB if flow cytometry is not done on BM)

CD3/CD4 ..... 9  __  __  __  %	CD19/lambda ..... 13  __  __  __  %
CD3/CD8 ..... 10  __  __  __  %	CD19/CD38 ..... 14  __  __  __  <b>gated %</b>
CD5/CD19/CD23 ..... 11  __  __  __  %	CD19/ZAP-70 ..... 15  __  __  __  %
CD19/kappa ..... 12  __  __  __  %	

\* fill out 111 if positive but percentage unknown, please report total percentages unless stated otherwise (e.g. report gated percentage for CD19/CD38)

**FISH ANALYSIS**

FISH analysis done ..... 16 |\_\_| 0=not done 1=done 2=failure

Type of sample used ..... 17 |\_\_| 1=bone marrow 2=peripheral blood 3=BM+PB

Method ..... 18 |\_\_| 1=interphase 2=metaphase

Cells analyzed for del(17)(p13) ..... 19 |\_\_||\_\_||\_\_|

Number of cells with del(17)(p13) ..... 20 |\_\_||\_\_||\_\_|

Cells analyzed for del(11)(q22-23) ..... 21 |\_\_||\_\_||\_\_|

Number of cells with del(11)(q22-23) ..... 22 |\_\_||\_\_||\_\_|

Cells analyzed for trisomy 12 ..... 23 |\_\_||\_\_||\_\_|

Number of cells with trisomy 12 ..... 24 |\_\_||\_\_||\_\_|

Cells analyzed for del(13)(q14) ..... 25 |\_\_||\_\_||\_\_|

Number of cells with del(13)(q14) ..... 26 |\_\_||\_\_||\_\_|

Date: |\_\_||\_\_||\_\_| Name: ..... Signature: .....



MOLECULAR EVALUATION FORM (8A)

Instructions: Please fill out this form repeatedly to document diagnosis and evaluation of treatment.  
Send it to: HOVON Data Center, Erasmus MC – Daniel den Hoed, P.O.Box 5201, 3008 AE ROTTERDAM, The Netherlands.

Patient namecode: ..... Hospital: ..... Patient study number: |\_\_|\_\_|\_\_|

BM sampling date ..... [dd/mm/yyyy] 3 |\_\_||\_\_||\_\_\_\_|

PB sampling date ..... [dd/mm/yyyy] 4 |\_\_||\_\_||\_\_\_\_|

IMMUNOGLOBULIN HEAVY CHAIN SEQUENCING

IgVH sequencing done ..... 27 |\_\_| 0=not done 1=done 2=failure

Type of sample used ..... 28 |\_\_| 1=bone marrow 2=peripheral blood

Method ..... 29 |\_\_| 1=RNA based 2=DNA based

Homology ..... [%] 30 |\_\_||\_\_||\_\_|

Mutational status (98% cut-off) ..... 31 |\_\_| 1=mutated 2=unmutated 3=inconclusive

Usage of V<sub>H</sub>3-21 ..... 48 |\_\_| 0=no 1=yes

MINIMAL RESIDUAL DISEASE

MRD by flow cytometry done ..... 32 |\_\_| 0=no 1=yes

Type of sample used ..... 33 |\_\_| 1=bone marrow 2=peripheral blood 3=BM+PB

Residual CLL cells PB ..... [%] 34 |\_\_||\_\_||\_\_|

Residual CLL cells BM ..... [%] 35 |\_\_||\_\_||\_\_|

MRD by PCR done ..... 36 |\_\_| 0=no 1=yes

Type of sample used ..... 37 |\_\_| 1=bone marrow 2=peripheral blood 3=BM+PB

Residual CLL cells PB ..... [%] 38 |\_\_||\_\_||\_\_|

Residual CLL cells BM ..... [%] 39 |\_\_||\_\_||\_\_|

MATERIAL STORED

Frozen material stored ..... 40 |\_\_| 0=no 1=yes

Type of sample stored ..... 41 |\_\_| 1=bone marrow 2=peripheral blood 3=BM+PB

Material suitable for

Viable cells ..... 42 |\_\_| 0=no 1=yes

Protein purification ..... 43 |\_\_| 0=no 1=yes

DNA purification ..... 44 |\_\_| 0=no 1=yes

RNA purification ..... 45 |\_\_| 0=no 1=yes

COMMENTS .....  
.....

Date: |\_\_||\_\_||\_\_\_\_| Name: ..... Signature: .....

HOVON 68 CLL

page 1 of 2

**CENTRAL MOLECULAR EVALUATION FORM (8B)**

*Instructions: This form has to be completed by the central molecular biologist.  
Send it to: HOVON Data Center, Erasmus MC – Daniel den Hoed, P.O.Box 5201, 3008 AE ROTTERDAM, The Netherlands.*

Patient namecode: ..... Hospital: ..... Patient study number: |\_\_|\_\_|\_\_|

BM sampling date ..... [dd/mm/yyyy] 3 |\_\_||\_\_||\_\_\_\_|

PB sampling date ..... [dd/mm/yyyy] 4 |\_\_||\_\_||\_\_\_\_|

**FLOW CYTOMETRY**

Flow cytometry done ..... 7 |\_\_| 0=no 1=yes

Type of sample used ..... 8 |\_\_| 1=bone marrow 2=peripheral blood 3=BM+PB

**Flow cytometry results for BM\*** (fill out results for PB if flow cytometry is not done on BM)

CD3/CD4 ..... 9  __  __  __  %	CD19/lambda ..... 13  __  __  __  %
CD3/CD8 ..... 10  __  __  __  %	CD19/CD38 ..... 14  __  __  __  <b>gated %</b>
CD5/CD19/CD23 ..... 11  __  __  __  %	CD19/ZAP-70 ..... 15  __  __  __  %
CD19/kappa ..... 12  __  __  __  %	

\* fill out 111 if positive but percentage unknown, please report total percentages unless stated otherwise (e.g. report gated percentage for CD19/CD38)

**FISH ANALYSIS**

FISH analysis done ..... 16 |\_\_| 0=not done 1=done 2=failure

Type of sample used ..... 17 |\_\_| 1=bone marrow 2=peripheral blood 3=BM+PB

Method ..... 18 |\_\_| 1=interphase 2=metaphase

Cells analyzed for del(17)(p13) ..... 19 |\_\_||\_\_||\_\_|

Number of cells with del(17)(p13) ..... 20 |\_\_||\_\_||\_\_|

Cells analyzed for del(11)(q22-23) ..... 21 |\_\_||\_\_||\_\_|

Number of cells with del(11)(q22-23) ..... 22 |\_\_||\_\_||\_\_|

Cells analyzed for trisomy 12 ..... 23 |\_\_||\_\_||\_\_|

Number of cells with trisomy 12 ..... 24 |\_\_||\_\_||\_\_|

Cells analyzed for del(13)(q14) ..... 25 |\_\_||\_\_||\_\_|

Number of cells with del(13)(q14) ..... 26 |\_\_||\_\_||\_\_|

Date: |\_\_||\_\_||\_\_\_\_| Name: ..... Signature: .....

**CENTRAL MOLECULAR EVALUATION FORM (8B)**

*Instructions: This form has to be completed by the central molecular biologist.  
Send it to: HOVON Data Center, Erasmus MC – Daniel den Hoed, P.O.Box 5201, 3008 AE ROTTERDAM, The Netherlands.*

Patient namecode: ..... Hospital: ..... Patient study number: |\_\_|\_\_|\_\_|

BM sampling date ..... [dd/mm/yyyy] 3 |\_\_||\_\_||\_\_\_\_|

PB sampling date ..... [dd/mm/yyyy] 4 |\_\_||\_\_||\_\_\_\_|

**IMMUNOGLOBULIN HEAVY CHAIN SEQUENCING**

IgVH sequencing done ..... 27 |\_\_| 0=not done 1=done 2=failure

Type of sample used ..... 28 |\_\_| 1=bone marrow 2=peripheral blood

Method ..... 29 |\_\_| 1=RNA based 2=DNA based

Homology ..... [%] 30 |\_\_||\_\_||\_\_|

Mutational status (98% cut-off) ..... 31 |\_\_| 1=mutated 2=unmutated 3=inconclusive

Usage of V<sub>H</sub>3-21 ..... 48 |\_\_| 0=no 1=yes

**MINIMAL RESIDUAL DISEASE**

MRD by flow cytometry done ..... 32 |\_\_| 0=no 1=yes

Type of sample used ..... 33 |\_\_| 1=bone marrow 2=peripheral blood 3=BM+PB

Residual CLL cells PB ..... [%] 34 |\_\_||\_\_||\_\_|

Residual CLL cells BM ..... [%] 35 |\_\_||\_\_||\_\_|

MRD by PCR done ..... 36 |\_\_| 0=no 1=yes

Type of sample used ..... 37 |\_\_| 1=bone marrow 2=peripheral blood 3=BM+PB

Residual CLL cells PB ..... [%] 38 |\_\_||\_\_||\_\_|

Residual CLL cells BM ..... [%] 39 |\_\_||\_\_||\_\_|

**MATERIAL STORED**

Frozen material stored ..... 40 |\_\_| 0=no 1=yes

Type of sample stored ..... 41 |\_\_| 1=bone marrow 2=peripheral blood 3=BM+PB

**Material suitable for**

Viable cells ..... 42 |\_\_| 0=no 1=yes

Protein purification ..... 43 |\_\_| 0=no 1=yes

DNA purification ..... 44 |\_\_| 0=no 1=yes

RNA purification ..... 45 |\_\_| 0=no 1=yes

**COMMENTS**.....  
.....

Date: |\_\_||\_\_||\_\_\_\_| Name: ..... Signature: .....

CT SCAN FORM (9)

Instructions: Please fill out this form repeatedly to document diagnosis and evaluation of treatment.  
Send it to: HOVON Data Center, Erasmus MC – Daniel den Hoed, P.O.Box 5201, 3008 AE ROTTERDAM, The Netherlands.

Patient namecode: ..... Hospital: ..... Patient study number: |\_\_|\_\_|\_\_|

Time of evaluation ..... 2 |\_\_| 0=on study 3=cycle III  
6=cycle VI 7=follow up

Date CT scan ..... [dd/mm/yyyy] 3 |\_\_||\_\_||\_\_|  
(date should match item 66 on On Study Form or  
item 46 on Response Evaluation Form)

**Evaluated regions**

Cervical ..... 4 |\_\_| 0=no 1=yes  
Thorax ..... 5 |\_\_| 0=no 1=yes  
Abdomen ..... 6 |\_\_| 0=no 1=yes  
Pelvis ..... 7 |\_\_| 0=no 1=yes  
Additional investigations ..... 8 |\_\_| 0=no 1=yes\*

\*Specify 9 .....  
.....

**SITES OF DISEASE**

Involvement: 0=no 1=yes 2=yes, and new lesion 9=unknown

	left		right
Waldeyers ring .....	10  __		
Cervical .....	11  __		12  __
Supraclavicular .....	13  __		14  __
Axillary .....	15  __		16  __
Mediastinum .....	17  __		
Hilar .....	18  __		19  __
Para-aortic .....	20  __		21  __
Mesenteric .....	22  __		
Spleen .....	23  __		
Liver .....	24  __		
Iliac .....	25  __		26  __
Inguinal .....	27  __		28  __
Other* .....	29  __		

\*Specify 30 .....  
.....

Date: |\_\_||\_\_||\_\_| Name: ..... Signature: .....

CT SCAN FORM (9)

Instructions: Please fill out this form repeatedly to document diagnosis and evaluation of treatment.  
Send it to: HOVON Data Center, Erasmus MC – Daniel den Hoed, P.O.Box 5201, 3008 AE ROTTERDAM, The Netherlands.

Patient namecode: ..... Hospital: ..... Patient study number: |\_\_|\_\_|\_\_|

Date CT scan ..... [dd/mm/yyyy] 3 |\_\_||\_\_||\_\_\_\_\_|

Indicator lesions

Designated number of indicator lesion must match the number given to this particular lesion on CT Scan Form (9) for on study. In case of multiple separate lesions from previous single mass:

Design. Nr.	Site*	Measurements [mm x mm]	nr. of lesions	SPD [mm <sup>2</sup> ]
1	31  __ __	32  __ __ __  X 33  __ __ __	34  __	35  __ __ __ __
2	36  __ __	37  __ __ __  X 38  __ __ __	39  __	40  __ __ __ __
3	41  __ __	42  __ __ __  X 43  __ __ __	44  __	45  __ __ __ __
4	46  __ __	47  __ __ __  X 48  __ __ __	49  __	50  __ __ __ __
5	51  __ __	52  __ __ __  X 53  __ __ __	54  __	55  __ __ __ __
6	56  __ __	57  __ __ __  X 58  __ __ __	59  __	60  __ __ __ __
7	61  __ __	62  __ __ __  X 63  __ __ __	64  __	65  __ __ __ __
8	66  __ __	67  __ __ __  X 68  __ __ __	69  __	70  __ __ __ __
9	71  __ __	72  __ __ __  X 73  __ __ __	74  __	75  __ __ __ __
10	76  __ __	77  __ __ __  X 78  __ __ __	79  __	80  __ __ __ __

\*\*Specify other sites ..... (if applicable) 81 .....  
.....

<b>*SITE</b>	6= Axillary left	12= Para-aortic right	16= Iliac left
1= Waldeyers ring	7= Axillary right	13= Mesenteric	17= Iliac right
2= Cervical left	8= Mediastinum	14= Spleen	18= Inguinal left
3= Cervical right	9= Hilar left	(total size)	19= Inguinal right
4= Sup. clavicul. left	10= Hilar right	15= Liver	88= Other**
5= Sup. clavicul. right	11= Para-aortic left	(total size)	

COMMENTS

.....  
.....  
.....

Date: |\_\_||\_\_||\_\_\_\_\_| Name: ..... Signature: .....

OFF TREATMENT FORM (10)

Instructions: Please send the completed form within 1 month after the patient is taken Off Protocol Treatment:  
Send it to: HOVON Data Center, Erasmus MC – Daniel den Hoed, P.O.Box 5201, 3008 AE ROTTERDAM, The Netherlands.

Patient namecode: ..... Hospital: ..... Patient study number: |\_\_|\_\_|\_\_|

Date when taken off protocol treatment..... [dd/mm/yyyy] 2 |\_\_||\_\_||\_\_\_\_|

Number of cycles given..... [0-6] 3 |\_\_|

Alemtuzumab given..... 4 |\_\_| 0=no 1=yes\*

Was serum stored for alemtuzumab  
(antibodies) assessment?..... 10 |\_\_| 0=no 1=yes

CMV prophylaxis given..... 5 |\_\_| 0=no 1=yes

Best response on protocol..... 6 |\_\_| 1=complete molecular remission  
2=complete flow cytometric remission  
3=complete remission (CR)  
10=CR with incomplete BM recovery  
5=partial remission  
6=stable disease  
8=progressive disease

Reason for going off protocol treatment..... 7 |\_\_| 0=normal completion  
1=no response after 3 cycles  
2=progression / relapse after initial response  
3=excessive toxicity (including toxic death)  
4=no compliance of the patient (especially refusal)  
5=intercurrent death  
6=major protocol violation  
7=withdrawal by investigator for clinical reason not related to  
protocol treatment  
8=other\*

\*Specify 8 .....

COMMENTS

.....  
.....

PLEASE RETURN THIS FORM TOGETHER WITH A FOLLOW UP FORM (11)

Date: |\_\_||\_\_||\_\_\_\_| Name: ..... Signature: .....

**FOLLOW UP FORM (11)**

*Instructions: Please complete this form for patients taken Off Protocol Treatment. It should at least be filled out at off protocol, at first progression of disease, every 6 months during the first 3 years of follow up and thereafter every year and at the request of the HOVON Data Center.  
Send it to: HOVON Data Center, University Hospital Rotterdam - Daniel, P.O.Box 5201, 3008 AE ROTTERDAM, The Netherlands.*

**use a separate form for each change in remission status**

Patient namecode: ..... Hospital: ..... Patient study number: |\_\_|\_\_|\_\_|

**PATIENT STATUS**

Date last known to be alive or date of death..... [dd/mm/yyyy] 2 |\_\_||\_\_||\_\_\_\_|  
 Date response evaluation..... [dd/mm/yyyy] 3 |\_\_||\_\_||\_\_\_\_| (date should match item 3 on Response  
 (most recent evaluation for this period) Evaluation Form (5) for this period)  
 Survival status..... 4 |\_\_| 0=alive 1=dead  
 Cause of death..... 5 |\_\_| 1=CLL 2=toxicity\* 3=infection\*  
 4=combination\* 8=other\* 9=unknown  
 \*Specify 6 .....

**REMISSION STATUS**

Remission status at present..... 7 |\_\_| 1=complete molecular remission  
 2=complete flow cytometric remission  
 3=complete remission  
 10=CR with incomplete BM recovery  
 5=partial remission  
 6=stable disease  
 7=progression after previous response  
 8=progressive disease  
 Progression / relapse..... 8 |\_\_| 0=no 1=yes  
 (not reported previously)  
 Date of diagnosis progression/relapse... [dd/mm/yyyy] 9 |\_\_||\_\_||\_\_\_\_|  
 Secondary malignancy..... 10 |\_\_| 0=no 1=yes\*  
 (not reported previously)  
 \*Specify 11 .....

Date of diagnosis sec. malignancy..... [dd/mm/yyyy] 12 |\_\_||\_\_||\_\_\_\_|

**LATE EVENTS** (observed since previous Follow Up and > 3 months after completion of protocol treatment)

Late toxicities (CTCAE ≥ 2)..... 13 |\_\_| 0=no 1=yes\*  
 \*Specify 14 .....

Late infections (CTCAE ≥ 2)..... 15 |\_\_| 0=no 1=yes\*  
 \*Specify 16 .....

Date: |\_\_||\_\_||\_\_\_\_| Name: ..... Signature: .....

**FOLLOW UP FORM (11)**

*Instructions: Please complete this form for patients taken Off Protocol Treatment. It should at least be filled out at off protocol, at first progression of disease, every 6 months during the first 3 years of follow up and thereafter every year and at the request of the HOVON Data Center.  
Send it to: HOVON Data Center, University Hospital Rotterdam - Daniel, P.O.Box 5201, 3008 AE ROTTERDAM, The Netherlands.*

**use a separate form for each change in remission status**

Patient namecode: ..... Hospital: ..... Patient study number: |\_\_|\_\_|\_\_|

Date last known to be alive or date of death..... [dd/mm/yyyy] 2 |\_\_||\_\_||\_\_\_\_|

**TREATMENT OFF PROTOCOL** (after previous Follow Up / Off Treatment and before date last contact present Follow Up)

Treatment given off protocol..... 17 |\_\_| 0=no 1=chemotherapy\* 8=other\*  
(not reported previously, CLL treatment only)

\*Specify 18 .....

Reason for this treatment..... 19 |\_\_| 1=reinduction 2=consolidation 8=other\*

\*Specify 20 .....

Date of start of this treatment..... [dd/mm/yyyy] 21 |\_\_||\_\_||\_\_\_\_|

Date response evaluation..... [dd/mm/yyyy] 22 |\_\_||\_\_||\_\_\_\_|

Response to this treatment..... 23 |\_\_| 1=complete molecular remission  
2=complete flow cytometric remission  
3=complete remission (CR)  
10=CR with incomplete BM recovery  
5=partial remission  
6=stable disease  
7=progression after previous response  
8=progressive disease

**COMMENTS**

.....  
.....  
.....

Date: |\_\_||\_\_||\_\_\_\_| Name: ..... Signature: .....



ADVERSE EVENT FORM (12)

Instructions: Please send the completed form, together with the corresponding treatment form, to:  
HOVON Data Center, Erasmus MC – Daniel den Hoed, P.O.Box 5201, 3008 AE ROTTERDAM, The Netherlands.

**Please report all adverse events with CTCAE grade ≥ 2 except hematological adverse events, please use CTCAE version 3.0**  
**Use separate forms for different periods**

Patient namecode: ..... Hospital: ..... Patient study number: |\_\_|\_\_|\_\_|

Adverse event report related to cycle..... [1-6] 2 |\_\_|  
Date start of this cycle..... [dd/mm/yyyy] 3 |\_\_|\_\_|\_\_|\_\_|  
(date should match item 6 on Treatment Form)

Highest CTCAE grade during this period

AE nr	Site*	CTCAE grade	SAE		Relationship to protocol treatment**		Specification			
			0=no	1=yes						
1	4	__	5	__	6	__	7	__	8	.....
2	9	__	10	__	11	__	12	__	13	.....
3	14	__	15	__	16	__	17	__	18	.....
4	19	__	20	__	21	__	22	__	23	.....
5	24	__	25	__	26	__	27	__	28	.....
6	29	__	30	__	31	__	32	__	33	.....
7	34	__	35	__	36	__	37	__	38	.....
8	39	__	40	__	41	__	42	__	43	.....
9	44	__	45	__	46	__	47	__	48	.....
10	49	__	50	__	51	__	52	__	53	.....
11	54	__	55	__	56	__	57	__	58	.....
12	59	__	60	__	61	__	62	__	63	.....

*SITE		
1= allergy/immunology (incl. drug fever)	10= hemorrhage/bleeding	19= renal/genitourinary
2= auditory/ear	11= hepatobiliary/pancreas	20= sexual/reproductive function
3= cardiac arrhythmia	12= lymphatics	21= syndromes
4= cardiac general	13= metabolic/laboratory	23= blood/bone marrow
5= coagulation	14= musculoskeletal/soft tissue	24= growth and development
6= constitutional symptoms (incl. non-neutropenic fever)	15= neurology	25= secondary malignancy
7= dermatology/skin	16= ocular/visual	26= surgery/intra-operative injury
8= endocrine	17= pain	27= vascular
9= GI	18= pulmonary/upper respiratory	88= other

**RELATIONSHIP TO PROTOCOL TREATMENT
0= unrelated
1= unlikely
2= possible
3= probable
4= definite
5= not assessable

COMMENTS

.....

PLEASE REPORT INFECTIONS BY FILLING OUT AN INFECTION FORM (13)

Date: |\_\_|\_\_|\_\_| Name: ..... Signature: .....

**INFECTION FORM (13)**

Instructions: Please send the completed form, together with the corresponding treatment form, to:  
HOVON Data Center, Erasmus MC – Daniel den Hoed, P.O.Box 5201, 3008 AE ROTTERDAM, The Netherlands.

**Please report all infections with CTCAE grade ≥ 2, please use CTCAE version 3.0**  
**Use separate forms for different periods**

Patient namecode: ..... Hospital: ..... Patient study number: |\_\_|\_\_|\_\_|

Infection report related to cycle..... [1-6] 2 |\_\_|  
Date start of this cycle..... [dd/mm/yyyy] 3 |\_\_||\_\_||\_\_\_\_|  
(date should match item 6 on Treatment Form)

**Highest CTCAE grade during this period**

Infect. nr.	Site <sup>(1)</sup>	ANC <sup>(2)</sup>	CTCAE grade		Agent <sup>(3)</sup>	SAE		Relationship to protocol treatment <sup>(4)</sup>		Specification
						0=no	1=yes			
1	4  __ __	5  __	6  __	7  __	8  __	9  __	10	.....		
2	11  __ __	12  __	13  __	14  __	15  __	16  __	17	.....		
3	18  __ __	19  __	20  __	21  __	22  __	23  __	24	.....		
4	25  __ __	26  __	27  __	28  __	29  __	30  __	31	.....		
5	32  __ __	33  __	34  __	35  __	36  __	37  __	38	.....		
6	39  __ __	40  __	41  __	42  __	43  __	44  __	45	.....		
7	46  __ __	47  __	48  __	49  __	50  __	51  __	52	.....		
8	53  __ __	54  __	55  __	56  __	57  __	58  __	59	.....		
9	60  __ __	61  __	62  __	63  __	64  __	65  __	66	.....		
10	67  __ __	68  __	69  __	70  __	71  __	72  __	73	.....		

**(1) SITE**

1= Blood culture	10= Fever e.c.i. **
2= Catheter	11= Other
3= Pulmonary	
4= Ear/nose/throat	
5= GI tract	
6= Liver	
7= GU tract	
8= CNS	
9= Skin/sub-cutaneous	

**(2) ANC**

0= <1.0x10 <sup>9</sup> /l
1= ≥1.0x10 <sup>9</sup> /l
9= unknown

**(3) AGENT**

1= Gram-positive bacteria
2= Gram-negative bacteria
3= Fungi
4= Protozoa
5= Virus
6= Mycoplasma
7= Combined agents
8= Other
9= Unknown

**(4) RELATIONSHIP TO PROTOCOL TREATMENT**

0= unrelated
1= unlikely
2= possible
3= probable
4= definite
5= not assessable

\*\* febrile neutropenia or, when ANC unknown, fever e.c.i. for which antibiotics given

**COMMENTS**

.....  
.....

Date: |\_\_||\_\_||\_\_\_\_| Name: ..... Signature: .....

HOVON 68 CLL

page 1 of 1

GENERAL COMMENTS FORM (14)

Instructions: Use this form for comments and other relevant information.
Send it to: HOVON Data Center, Erasmus MC – Daniel den Hoed, P.O.Box 5201, 3008 AE ROTTERDAM, The Netherlands.

Patient namecode: ..... Hospital: ..... Patient study number: |\_|\_|\_|\_|\_|

Area with horizontal dotted lines for writing general comments.

Date: |\_|\_|\_|\_|\_| Name: ..... Signature: .....